# Toward an AI-Enabled SDN-based 5G & IoT Network

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# Abstract

Artificial Intelligent technology has been applied to a wide variety of applications including products, systems, and services of the Information & Communications Technology (ICT) and non-ICT or traditional industries. The benefits of these applications includes performance improvement, optimization, intelligent. The 5G mobile/wireless networks have intelligent features of network slicing and edge computing because of network equipment system vendors apply AI technology to the mobile systems. On the other hand, many traditional industries have benefits from AI technology in particular Machine Learning (ML) and Deep Learning (DL). Recently in the agriculture, healthcare, finance, and many other applications and services have adopted AI/ML/DL technology even with the integration of 5G and Internet of Things (IoT). This article focuses on the system architecture and design of open networking (ON) solution of 5G, the approach of SDN/NFV-based 5G and IoT and how AI/ML interact with 5G/IoT and learns from these. We call this interaction as SDN-based 5G/IoT Network AI or AI-enabled SDN-based 5G/IoT Network.

**Keywords:** Artificial Intelligence (AI), Machine Learning (ML), Deep Learning (DL), the Fifth Generation Mobile System (5G), Internet of Things (IoT), Software Defined Network (SDN), Network Function Virtualization (NFV)

# 1. Introduction

Artificial intelligent (AI) is a great technology and applications for which existing solutions require better automation or optimization and there is no good solution by using traditional approaches. So, when people say AI in Network really means using AI techniques to assist network operations, configuration, and management or to play a supporting role. On the other hand, Network with machine learning (ML), deep learning (DL) and other AI techniques used to manage network to be predictive and proactive, then AI/ML/DL becomes the driving force of network operations and management or the enabler of network operations. We call this kind of network is an AI-enabled Network (Yao et al., 2018; Zheng et al., n.d.). AI is also an interdisciplinary science with multiple approaches but the advancements in ML and DL are creating a paradigm shift virtually every sector of the technology industry.

# 2. Networking Trends & Directions

### 2.1 Open Network and 5G

It has been a paradigm shift in the network industry, from a proprietary networking with vendor-dependent soft-ware/hardware to vendor-independent open source and white box switches as illustrated in Figure 1. The white box is the commodity hardware that is available from network equipment ODM vendors. On the other hand, the open source software executed on the commodity hardware in the control plane (with controller) of SDN can be very complex. Currently, there are three (3) well-developed SDN controller operating systems (OS) open source available including, ONOS, ODL, and RYU developed by various open source foundations.

The development direction from proprietary networking to open source based networking called Open Networking (ON). The first ON solution for 5G (in Japan) was proposed by Rakuten Mobile in September, 2019 and granted an operator license. Right now Rakuten is busy in the deployment of base stations and expect to provide the full coverage of Japan for mobile services in 2021.



Figure 1. Open Networking (ON) Efforts

#### 2.2 Interne of Things (IoT)

Figure 2 shows the definition of Internet of Things (IoT) and some examples Until now, most of the systems of Internet of Things (IoT) applications snd services are relying on 4G/LTE or even 3G as the gateway to transport data to the destination for computing and applications creation and execution. Figure 3 illustrates wireless sensor network (WSN) which collects data and/or signals from devices and use 4G/LTE and 5G as the gateway to network and services platform for further application creation. Its equivalent 4 Layer Model of IoT is shown in Figure 4 (Akyildiz, 2017). Those 4 layers are layer 1: sensing and identification, layer 2: network construction, layer 3: information processing and layer 4: integrated application.



Figure 2. Internet vs. Internet of Things (Akyildiz, 2017)



Figure 3. IoT Conceptual and Reference Architecture



Figure 4. 4-layer model of IoT (Akyildiz, 2017)

## 2.3 SDN-Based 5G

In this article SDN-Based 5G means 5G network is comstructed and deployed by using open source code related to SDN/NFV to do the softwarization & virtualization of 5G. The network architecture of 5G consists of ORAN and virtual EPC (core network). ORAN is an open source radio access network subsystem which separates RRH/RRU (remote radio head/remote radio unit) from BBU (baseband unit) and its core network is an available open source EPC. Figure 5 illustrates the open network architecture.of 5G. This open 5G network architectre creates the opportunity to be multi-vender to avoid lock-into one vender with proprietary solution.Also the separation of ORAN and EPC may create the new eco system of 5G industry.



Figure 5. Open 5G network architecture

#### 3. Relationships among Al, ML Neural Networks and DL

This section discusses the definitions of AI, ML, DL and their relationships and differences. AI is algorithms, programs, coding can make machine, objects and things more intelligence through learning and/or training. ML is a subset of AI while DL is a subset of AI and ML.

In practical AI system, these technologies are often supplemented by traditional software coding techniques to manage the AI system, to preprocess data used to drive the AI system, and to implement output a to adapters to effectively use the decision or recommendation output. Many forms of AI incorporate ML to enable the AI systems to better adapter to a complex potentially dynamic environment ML involves training or data acquisition that can modify machine behavior and comes many forms: supervised learning, unsupervised learning, reinforcement learning, and online learning.

Neural networking is a specific class of AI ML systems neural networks have shown promise in addressing complex data relationships. DL generally applies to large neural network with thousands of hidden layers wherein training occurs on each layer within the hidden nodes of a neural network. In the recent years deep learning neural networks have become the most promising approach to AI.



Figure 6. Relationships among Al, ML Neural Networks and DL (ATIS, 2018)

#### 4. AI in Network vs AI-enabled Network

There are two ways to migrate AI software to the existed system. One way is try to use AI algorithms or techniques to assist the system to become more automation, more optimization, and better service. So, AI in network means what AI can assist network to complete network functions. The other way is using AI/ML/DL techniques to complete the network migration. So, AI-enabled Network means AI driven network operation and management. The characteristics of 3 approach to architect and design the network include: Traditional Networking without AI,

AI in Network, AI-enabled Network are in the following:

- 1) Traditional Networking without AI/ML/DL: reactive, no intelligence, no optimization, error prone, inefficient
- 2) AI in Network is: semi-active, partial intelligent, local optimization, semi-automated
- 3) AI-enabled Network is: proactive, intelligent, global optimization, automated, scalable

Table1 shows the comparison of 3 approaches.

Table 1. Comparison of Traditional Networking, AI in Network, and AI-enabled Network

With AI	Traditional	AL in Natural	AL anablad Natwork
Characteristics	Networking	AI III INCLWOIK	AI-enabled Network
Approach	without AI/ML/DL	With AI/ML/DL	With AI/ML/DL
Active	Reactive	semi-full active	proactive
Intelligence	no intelligence	semi-full intelligence	intelligence
Optimization	no optimization	local optimization	global optimization
Automated	no automated	semi-automated	automated
Others	error prone inefficiency	no-specific	scalable

### 5. AI-enabled SDN/NFV 5G and IoT Network

The status of open source for 5G network architecture (Lin, 2019; Lin et al., 2019; 5G Americas whitepaper, 2019; B. S. P. Lin, F. J. Lin, & Tung, 2016) is based on software defined network (SDN) for softwarization and network function virtualization (NFV) for virtualization principles. Together, these provide agility and flexibility in terms of resource placement and utilization. Figure 7 illustrates the simplified 5G network system architecture-based IoT applications. SDN-based 5G/IoT AI-enabled is shown in Figure 8.

5G/IoT AI-enabled can be used to manage 5G networks (Nelson, 2020) and IoT applications.



**Network Switches** 

Figure 7. Simplified 5G SDN Architecture-based IoT Applications



Figure 8. AI-enabled SDN-based 5G/IoT (Yao et al., 2018)

For example, network slicing different is a way to use different services on the same network infrastructure – voice and IoT. It's a kind of flexibility feature let different applications use varying bandwidth. Different latency maintain varying levels of reliability depending on need.

### 6. Global AI Industry

The AI market for AI/ML/DL includes software embedded inside chip, device, module, network infrastructure, subsystem, information processing and integrated application. AI may be used in or applied to one of many industries and play as a tool of assistance. AI may also can be a kind of product. And we expect more products are coming out in the global market based on the amount of investment of AI R&D activities in US, China, Europe, Japan, and Taiwan. At last. AI can be as a service (AaaS). We can see more and more AI plays as services and products by itself. AI will become one of the most promising high technology industries.

### 7. Toward an AI-enabled SDN-based 5G &IoT Network

This section we focus on the architecture, design, and challenge issues.

### 7.1 Architecture of AI-Enabled 5G/IoT Network

Figure 6 and 7 have shown the simplified architecture of SDN-based 5G/IoT: Figure 6 without AI and Figure 7 with AI/.ML. The intelligent agents can be applied to each layer of four-layer model in Figure 4. In this case, it applies to network infrastructure & construction layer. Figure 7 illustrates AI/ML has been applied to information processing and integration application. Together with Figures 4, 5, 6, 7 and 8, the architecture of AI-enabled SDN-based 5G/IoT network is shown in Figure 9.



Figure 9. AI-enabled SDN-based 5G/IoT network

### 7.2 Design and Application of AI-enabled 5G/IoT Network

The design of SDN-based AI-enabled 5G/IoT based on the architecture of AI-enabled 5G/IoT of Figure 9. To ensure the global level optimization, both 5G and IoT have to go through AI/ML/DL learning process.

For the applications of AI-enabled 5G/IoT Network, the fusion of AI, 5G, and IoT creates the new opportunities of smart agriculture or farms, smart healthcare, intelligent transportation, smart city. Figure 10 shows the process and applications created by the integration of 5G, AI, and IoT.



Figure10. Open Intelligent connectivity

#### 7.3 The Challenge in the Realization of AI-enabled 5G/IoT Network

The successful event of Japan's Rakuren Mobile awarded a 5G license by using Open Networking (ON) architecture on April 11, 2019. But Rakuren has been delayed in the deployment phase expected to occur in June 2020. The challenges in the implementation is still remained:

- 1) Softwarization and virtualization (SDN/NFV) of open source5G availability (5G Americas whitepaper, 2019)
- 2) The software quality of open source code
- 3) The completeness of open source for 5G (Snow & Parulkar, 2016)
- 4) More successful stories for field trials and network deployment needed
- 5) AI-enabled to identify assistant role or proactive role

## 8. Future Work & Conclusion

This article addresses a variety of industries having been AIized by applying AI/ML/DL techniques to act as a tool or to show as an assistant to enhance the other industries. It is not clear what AI industry means. When they are more AI/ML/DL technology used to create or produces more differentiable and unique AI-based products and applications/services, then AI will have its own industry, AI Industry will be one of competitive technology industries.

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