On the Automorphisms of the Four-dimensional Real Division Algebras

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Abstract

In this paper, we study partially the automorphisms groups of four-dimensional division algebra. We have proved that there is an equivalence between Der(A) = su(2) and Aut(A) = SO(3). For an unitary four-dimensional real division algebra, there is an equivalence between dim(Der(A)) = 1 and Aut(A) = SO(2).

Keywords: division algebra, derivations, automorphisms, mutation, isotope.

1. Introduction

The finited-dimensional real division algebra A, an actuel problem, takes its origin with the quaternion's discovery \mathbb{H} , by Hamilton in 1843. One of the fundementals results of a n-dimensional real division algebra affirms that $n \in \{1, 2, 4, 8\}$ (Bott & Milnor, 1958; Kervaire, 1958). For $n \in \{1, 2\}$, the real division algebra *A* is known (Althoen & Kugler, 1983; Hübner & Peterson, 2004; Dieterich, 2005). However the problem persists for the others cases. One of the method of determining the algebra A is to know its derivations and/or its automorphisms. Benkart and Osborn have classified Lie algebra of derivations Der(A) (Benkart & Osborn, 1981). It's well known that if A is finite dimensional ,then the automorphism group Aut(A) is a group of Lie, whose associated Lie algebra and Lie algebra Der(A) coincide. In dimension 1, the group Aut(A) is trivial. In dimension 2, Dieterich has classified Aut(A), (Dieterich, 2005). However the problem persists for the others cases. This paper is a contribution to the advancement of the determination of the group Aut(A). In the first part, we give some preliminaries results on the automorphism of an algebra A. In the second part, we characterize the 4-dimensional real division algebra A whose Aut(A) = SO(3). Finally, we characterize also an unitary 4-dimensional real division algebra whose Aut(A) = SO(2).

2. Preliminary

An algebra is said to be mutation α of A denoted A^{α} , the vector space A which has as product: $x \bullet_{\alpha} y = \alpha xy + (1 - \alpha)yx$, x, $y \in A$. If $\lambda, \mu \in \mathbb{R}$ we have $(A^{\lambda})^{\mu} = A^{\alpha}$ with $\alpha = 2\lambda\mu - \lambda - \mu + 1$. The product of \mathbb{H}^{λ} in the basic e = 1, $e_1 = \frac{i}{2\lambda - 1}$, $e_2 = \frac{j}{2\lambda - 1}$, $e_3 = \frac{k}{2\lambda - 1}$, is given by: $ee_n = e_n e = e_n$; $e_n^2 = \frac{1}{(2\lambda - 1)^2}e$; $e_1e_2 = -e_2e_1 = e_3$; $e_1e_3 = -e_3e_1 = -e_2$; $e_2e_3 = -e_3e_2 = e_1$. Where $\{1, i, j, k\}$ in the canonical basis of the quaternions algebra \mathbb{H} . We denote $Aut(A) = \{f : A \longrightarrow A, \text{ linear bijection:} f(xy) = f(x)f(y), \forall x y \in A\}$ the automorphism group of A. We denote $Der(A) = \{\partial : A \longrightarrow A, \text{ linear mapping:} \partial(xy) = \partial(x)y + x\partial(y), \forall x y \in A\}$ the Lie algebra of derivations of A. The algebra A is called division if for all $x \in A - \{0\}$ the linears mapping L_x and R_x are bijective. Let $x, y \in A$, [x, y] = xy - yx is the commutator of x and y. We recall that $I(A) = \{x \in A : x^2 = x\}$. Let ϕ, ψ the linears bijections, we call isotopy of A denoted $A_{\phi,\psi}$, the algebra whose product is: $x \odot y = \phi(x)\psi(y), x, y \in A$.

Example The mutation $\lambda \in \mathbb{R}$ of \mathbb{C} , \mathbb{C}^{λ} is isomorphic to \mathbb{C} . The mutation $\frac{1}{2}$ of \mathbb{H} , $\mathbb{H}^{\frac{1}{2}}$ is commutative and it's not of division, called the symtrization, one notes it \mathbb{H}^+

Lemma 1 *Let A be a real algebra, then the following assertions are equivalent:*

1. $f \in Aut(A)$ and $[f, \varphi] = [f, \psi] = 0$;

2.
$$f \in Aut(A_{\phi,\psi})$$
 and $[f,\varphi] = [f,\psi] = 0$

Proof. Let $f \in Aut(A_{\phi,\psi})$, for all x and $y \in A$ we have:

$$\begin{aligned} f(x \odot y) &= f(x) \odot f(y) \\ \Leftrightarrow f(\phi(x) \cdot \psi(y)) &= \varphi(f(x)) \cdot \psi(f(y)) \\ \Leftrightarrow f(\phi(x) \cdot \psi(y)) &= f(\phi(x)) \cdot f(\psi(y)). \quad Then \quad f \in Aut(A). \end{aligned}$$

Lemma 2 Let A be an algebra and $\lambda \in \mathbb{R}$, so $Aut(A) \subset Aut(A^{(\lambda)})$. Furthermore if $\lambda \neq \frac{1}{2}$ then $Aut(A) = Aut(A^{(\lambda)})$.

Proof. It's easy to show that $Aut(A) \subset Aut(A^{(\lambda)})$. If $\lambda \neq \frac{1}{2}$, we have $Aut(A^{(\lambda)}) \subset Aut((A^{(\lambda)})^{\frac{\lambda}{2\lambda-1}}) = Aut(A)$

3. Characterization of Four-dimensional Real Division Algebra with SO(3) as Its Automorphic Group

In (Benkart & Osborn, $(1981)_2$), we have the following result:

Theorem 1 *A is an four-dimensional real division algebra with* su(2) *as its derivation algebra if and only if A has a basis* $\{e, e_1, e_2, e_3\}$ with multiplication given by (1.1) for some real numbers α , β , γ such that $\alpha\beta\gamma > 0$.

$$e^{2} = e, \quad ee_{i} = \alpha e_{i}, \quad e_{i}e = \beta e_{i} \quad e_{i}^{2} = -\gamma e \quad for \quad all \quad i \in \{1, 2, 3\}$$
$$e_{1}e_{2} = -e_{2}e_{1} = e_{3}, \quad e_{2}e_{3} = -e_{3}e_{2} = e_{1}, \quad e_{3}e_{1} = -e_{1}e_{3} = e_{2} \quad .$$
(1.1)

Remark 1 Let $x = \lambda_0 e + \lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3$, $y = \lambda'_0 e + \lambda'_1 e_1 + \lambda'_2 e_2 + \lambda'_3 e_3 \in A$, we have:

$$xy = (\lambda_0\lambda'_0 - \gamma\lambda_1\lambda'_1 - \gamma\lambda_2\lambda'_2 - \gamma\lambda_3\lambda'_3)e + (\alpha\lambda_0\lambda'_1 + \beta\lambda_1\lambda'_0 + \lambda_2\lambda'_3 - \lambda_3\lambda'_2)e_1 + (\alpha\lambda_0\lambda'_2 + \beta\lambda_2\lambda'_0 + \lambda_3\lambda'_1 - \lambda_1\lambda'_3)e_2 + (\alpha\lambda_0\lambda'_3 + \beta\lambda_3\lambda'_0 + \lambda_1\lambda'_2 - \lambda_2\lambda'_1)e_3$$

We defined $\psi_{\alpha} : A \longrightarrow A$; $\psi_{\alpha}(\lambda e + u) = \lambda e + \frac{1}{\alpha}u$ with $(\alpha, \lambda) \in \mathbb{R}^* \times \mathbb{R}$ and $u \in lin\{e_1, e_2, e_3\}$.

Theorem 2 Let A be an 4-dimensional real division algebra with su(2) as its derivation algebra, then the isotope $A_{\psi_{\alpha},\psi_{\beta}}$ of A is isomorphic to \mathbb{H}^{μ} with $\mu = \frac{1}{2\sqrt{\alpha\beta\gamma}} + \frac{1}{2}$.

Proof. Let A be an algebra of theorem 1. The multiplication of $A_{\psi_{\beta},\psi_{\alpha}}$ in the basis $\{e, e_1, e_2, e_3\}$ is given by (1.2)

$$e \odot e = e, \quad e \odot e_i = e_i \odot e = e_i, \quad e_i \odot e_i = -\frac{\gamma}{\alpha\beta} e \text{ for all } i \in \{1, 2, 3\}$$
$$e_1 \odot e_2 = -e_2 \odot e_1 = \frac{1}{\alpha\beta} e_3, \quad e_2 \odot e_3 = -e_3 \odot e_2 = \frac{1}{\alpha\beta} e_1, \quad e_3 \odot e_1 = -e_1 \odot e_3 = \frac{1}{\alpha\beta} e_2 \qquad . \tag{1.2}$$

Setting e' = e, $e'_1 = \alpha\beta e_1$, $e'_2 = \alpha\beta e_2$ and $e'_3 = \alpha\beta e_3$, we obtain, an algebra isomorphic to \mathbb{H}^{μ} with $\mu = \frac{1}{2\sqrt{\alpha\beta\gamma}} + \frac{1}{2}$.

Corollary 1 Every four-dimensional real division algebra with su(2) as its derivation algebra is isotope to the algebra \mathbb{H}^{λ} .

Lemma 3 Let A be an 4-dimensional real division algebra with su(2) as its derivation algebra. Then A has a basis $\{e, e_1, e_2, e_3\}$ with multiplication given by (1.1). Then we have

$$I(A) = \{e\} \cup \{\frac{1}{\alpha + \beta}e + \sum_{i=1}^{3}\lambda_{i}e_{i}; \sum_{i=1}^{3}\lambda_{i}^{2} = \frac{1 - (\alpha + \beta)}{\gamma(\alpha + \beta)^{2}}\}, if \alpha + \beta \neq 0 and \frac{1 - (\alpha + \beta)}{\gamma} > 0$$

$$I(A) = \{e\}, otherwise.$$

Proof. Let $x = \lambda_0 e + \lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3 \in A$, we have:

$$x^{2} = x \quad \Longleftrightarrow \quad \left\{ \begin{array}{l} \lambda_{0}^{2} - \gamma(\lambda_{1}^{2} + \lambda_{2}^{2} + \lambda_{3}^{2}) = \lambda_{0} \\ \lambda_{i}((\alpha + \beta)\lambda_{0} - 1) = 0, \quad i \in \{1, 2, 3\} \end{array} \right.$$

We obtain I(A) by resolving the system and discussing on $\alpha + \beta$ and $\frac{1-(\alpha+\beta)}{\gamma}$.

Corollary 2 Let A be an real algebra of theorem 1. Let u and $v \in A$ linearly independent. Then the following assertions are equivalent:

1. $x \in I(A), u^2 = v^2 = -\gamma x, xu = \alpha u, ux = \beta u, xv = \alpha v, and vx = \beta v$ 2. $x = e \text{ and } u, v \in \{\lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3; \text{ with } \lambda_1^2 + \lambda_2^2 + \lambda_3^2 = 1\}.$

Proof. (1) \Longrightarrow (2) the proof will be reduce in the case $\alpha + \beta \neq 0$ and $\frac{1-(\alpha+\beta)}{\gamma} > 0$. Suppose that $x = \frac{1}{\alpha+\beta}e + \lambda_1e_1 + \lambda_2e_2 + \lambda_3e_3 \in I(A)$ with $\sum_{i=1}^3 \lambda_i^2 = \frac{1-(\alpha+\beta)}{\gamma(\alpha+\beta)^2}$. Let $u = \sum_{i=0}^3 \lambda_i'e_i$, and $v = \sum_{i=0}^3 \lambda_i''e_i \in A$ satisfied the equations of (a). We have: $u^2 = v^2 = -\gamma x \Longrightarrow \lambda_i = -\frac{\alpha+\beta}{\gamma} \lambda_0' \lambda_i' = -\frac{\alpha+\beta}{\gamma} \lambda_0'' \lambda_i'' \quad i \in \{1, 2, 3\}.$ (E.1)

And $xu = \alpha u$, $xv = \alpha v \implies \lambda'_0^2 = \lambda''_0^2 = \frac{\alpha \gamma (1 - (\alpha + \beta))}{\beta (\alpha + \beta)^2}$. Consequently $\lambda'_0 = \varepsilon \lambda''_0$ with $\varepsilon^2 = 1$. We have $u = \varepsilon v$ according to (E.1), which is adsurd since u and v are linearly independent, then x = e. It's easily shown that the equations $u^2 = v^2 = -\gamma e$, $eu = \alpha u$, $ue = \beta u$, $ev = \alpha v$, and $ve = \beta v$ gives $\lambda'_0 = \lambda''_0 = 0$ and $\sum_{i=0}^3 {\lambda'_i}^2 = \sum_{i=0}^3 {\lambda''_i}^2 = 1$.

 $(2) \Longrightarrow (1)$ the proof is evident.

Proposition 1 Let A be a 4-dimensional real division algebra with su(2) as its derivation algebra and $f \in Aut(A)$, then f(e) = e and $f(lin\{e_1, e_2, e_3\}) \subseteq lin\{e_1, e_2, e_3\}$. Moreover $[f, \psi_\alpha] = 0$.

Proof. We notice that $f(e) \in I(A)$ and $f(e_i)$ for all $i \in \{1, 2, 3\}$, satisfy to (a) of corollary 1. Then f(e) = e and $f(e_i) \in lin\{e_1, e_2, e_3\}$. It's easy to show that $[f, \psi_\alpha] = 0$.

Theorem 3 Let A be a 4-dimensional real division algebra with su(2) as its derivation algebra, then the following propositions are equivalent:

- 1. $Aut(A) \cong SO(3);$
- 2. $Der(A) \cong su(2);$
- 3. $A_{\psi_{\alpha},\psi_{\beta}}$ is isomorphic to \mathbb{H}^{μ} with $\mu = \frac{1}{2\sqrt{\alpha\beta\gamma}} + \frac{1}{2}$.

Proof. (1) \Longrightarrow (2) $Der(A) = Lie(Aut(A)) = Lie(SO(3)) \cong so(3) \cong su(2)$.

 $(2) \Longrightarrow (3)$ See the Theorem 2.

(3) \implies (1) All automorphisms of *A* commute with ψ_{α} and ψ_{β} according to Proposition 1 and also all automorphisms of $A_{\psi_{\alpha},\psi_{\beta}}$ commute with ψ_{α} and ψ_{β} according to theorem 2, then $Aut(A) = Aut(A_{\psi_{\alpha},\psi_{\beta}})$. The Lemmas 1 and 2 give $Aut(A) = Aut(A_{\psi_{\beta},\psi_{\alpha}}) = Aut(\mathbb{H}^{\mu}) = Aut(\mathbb{H}) \cong SO(3)$.

4. Characterization Unitary 4-dimensional Real Division Algebra with SO(2) as Its Automorphisms Groups

In (Diabang & all, $(2016)_1$), we have the following result:

Theorem 4 Let A be an unital 4-dimensional real division algebra having a non-trivial derivation ∂ , then there exists a basis $\mathcal{B}_1 = \{e, e_1, e_2, e_3\}$ of A for which the multiplication is given by the table (1.3):

\odot	е	e_1	e_2	e_3	
е	e	e_1	e_2	e_3	
e_1	e_1	-е	$\alpha_1 e_2 + \alpha_2 e_3$	$-\alpha_2 e_2 + \alpha_1 e_3$	(1.3)
e_2	e_2	$\alpha_3 e_2 + \alpha_4 e_3$	$\alpha_5 e + \alpha_6 e_1$	$\alpha_7 e + \alpha_8 e_1$	
e_3	<i>e</i> ₃	$-\alpha_4 e_2 + \alpha_3 e_3$	$-\alpha_7 e - \alpha_8 e_1$	$\alpha_5 e + \alpha_6 e_1$	

for some real numbers α_i , $i \in \{1, \ldots, 7\}$.

Corollary 3 *Let A be an four-dimensional real unital division algebra A having a non-trivial derivation, then the following propositions are equivalent:*

1. $\alpha_1 = \alpha_3 = \alpha_6 = \alpha_7 = 0$, $\alpha_5 < 0$, $\alpha_2 = -\alpha_4 \neq 0$ and $\alpha_8 = -\alpha_2 \alpha_5 \neq 0$;

- 2. A is quadratic and flexible;
- 3. Der(A) = su(2);

- 4. Aut(A) = SO(3);
- 5. A is isotope to \mathbb{H}^{μ} .

Proof. (1) \iff (2) \iff (3) results of Theorem 2 in (Diabang & all, (2016)₁).

 $(3) \iff (4) \iff (5)$ results of Theorem 3.

Lemma 4 Let A be an unital four-dimensional real division algebra having a non-trivial derivation ∂ such that A isn't quadratic or isn't flexible. If $f \in Aut(A)$, then f(e) = e and $f(e_1) = \varepsilon e_1$ with $\varepsilon^2 = 1$.

Proof. f being bijective then for all $y \in A$ there is $x \in A$ such that f(x) = y. We have f(e)y = f(e)f(x) = f(ex) = f(x) = yand yf(e) = f(x)f(e) = f(xe) = f(x) = y, then f(e) is an unitary element of *A*, therefore f(e) = e. The subalgebra of *A* generated by $f(e_1)$, denoted $\langle f(e_1) \rangle$, is isomorphic to $B_0 = \ker \partial$. As dim(*Der*(*A*)) = 1 then for all $x \in \langle f(e_1) \rangle$, $\partial(x) = 0$ consequently $f(e_1) \in B_0$. The equation $f(e_1)^2 = -e$ gives $f(e_1) = \varepsilon e_1$.

Remark 2 Let A be an unital 4-dimensional real division algebra having a non-trivial derivation. Let $x = \lambda_0 e + \lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3 \in A$, we have:

$$x^{2} = -e \iff \begin{cases} \lambda_{0}^{2} - \lambda_{1}^{2} + \alpha_{5}(\lambda_{2}^{2} + \lambda_{3}^{2}) = -1 & (E.2) \\ 2\lambda_{0}\lambda_{1} + \alpha_{6}(\lambda_{2}^{2} + \lambda_{3}^{2}) = 0, & (E.3) \\ 2\lambda_{0}\lambda_{2} + (\alpha_{1} + \alpha_{3})\lambda_{1}\lambda_{2} - (\alpha_{2} + \alpha_{4})\lambda_{1}\lambda_{3} = 0, & (E.4) \\ 2\lambda_{0}\lambda_{3} + (\alpha_{2} + \alpha_{4})\lambda_{1}\lambda_{2} + (\alpha_{1} + \alpha_{3})\lambda_{1}\lambda_{3} = 0. & (E.5) \end{cases}$$

$$\lambda_2 \mathbf{E.4} + \lambda_3 \mathbf{E.5} \implies (2\lambda_0 + (\alpha_1 + \alpha_3)\lambda_1)(\lambda_2^2 + \lambda_3^2) = 0 \qquad (\mathbf{E.6})$$

$$\lambda_3 \mathbf{E.4} + \lambda_2 \mathbf{E.5} \implies (\alpha_2 + \alpha_4)\lambda_1(\lambda_2^2 + \lambda_3^2) = 0 \qquad (\mathbf{E.7})$$

There are four possible cases:

Cas 1. If $\alpha_6(\alpha_2 + \alpha_4) \neq 0$, then $x^2 = -e \iff x = \varepsilon e_1$. **Cas 2.** If $\alpha_6 = 0$ and $\alpha_2 + \alpha_4 \neq 0$, then

$$x^{2} = -e \quad \Longleftrightarrow \quad \left\{ \begin{array}{ll} x \in \{\varepsilon e_{1}\} \cup \{\lambda_{2}e_{2} + \lambda_{3}e_{3}; \ \lambda_{2}^{2} + \lambda_{3}^{2} = -\frac{1}{\alpha_{5}}\}, & If \ \alpha_{5} < 0 \\ x = \varepsilon e_{1} & otherwise, \end{array} \right.$$

Cas 3. If $\alpha_6 = \alpha_2 + \alpha_4 = 0$ *, then*

$$x^{2} = -e \iff \begin{cases} x \in \{\lambda_{1}e_{1} + \lambda_{2}e_{2} + \lambda_{3}e_{3}; \ \lambda_{1}^{2} = 1 + \alpha_{5}\lambda_{2}^{2} + \alpha_{5}\lambda_{3}^{2}\}, & If \ \alpha_{1} + \alpha_{3} = 0\\ x \in \{\varepsilon e_{1}\} \cup \{\lambda_{2}e_{2} + \lambda_{3}e_{3}; \ \lambda_{2}^{2} + \lambda_{3}^{2} = -\frac{1}{\alpha_{5}}\}, & If \ \alpha_{1} + \alpha_{3} \neq 0 \ and \ \alpha_{5} < 0\\ x = \varepsilon e_{1}, & If \ \alpha_{1} + \alpha_{3} \neq 0 \ and \ \alpha_{5} \ge 0 \end{cases}$$

Cas 4. If $\alpha_6 \neq 0$ and $\alpha_2 + \alpha_4 = 0$, then

$$x^{2} = -e \quad \Longleftrightarrow \quad \left\{ \begin{array}{l} x \in \{\varepsilon e_{1}\} \cup \{k_{o}e + \varepsilon \sqrt{k_{1}}e_{1} + \lambda_{2}e_{2} + \lambda_{3}e_{3}; \quad \lambda_{2}^{2} + \lambda_{3}^{2} = \frac{\alpha_{1} + \alpha_{3}}{\alpha_{6}}k_{1}\}, \quad If \quad \frac{\alpha_{1} + \alpha_{3}}{\alpha_{6}} > 0, k_{1} > 0 \\ x = \varepsilon e_{1}, \quad otherwise \end{array} \right.$$

with
$$k_1 = \frac{4\alpha_6}{4\alpha_6 - 4\alpha_5(\alpha_1 + \alpha_3) - \alpha_6(\alpha_1 + \alpha_3)^2}, k_0 = -\frac{\varepsilon(\alpha_1 + \alpha_3)\sqrt{k_1}}{2}$$
 and $\varepsilon \in \{-1, 1\}$.

Proposition 2 Let A be an unital four-dimensional real division algebra having a non-trivial derivation ∂ such that A isn't quadratic or isn't flexible. If $f \in Aut(A)$, then

$$M(f,\mathcal{B}_1) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cos(\theta) & -\sin(\theta) \\ 0 & 0 & \sin(\theta) & \cos(\theta) \end{pmatrix}.$$

with $\theta \in \mathbb{R}$ so $Aut(A) \cong SO(2)$.

Proof. The lemma 4, gives f(e) = e and $f(e_1) = \varepsilon e_1$. By the definition of the automorphism f and the equations $(E.2), \ldots, (E.7)$, we obtain the result.

Definition 1 (Unit-duplication process) Let *B* be an real algebra having an unit element *e* and let ρ , σ , ϕ , ψ : $B \longrightarrow B$ be linear mappings such that $\phi(e) = \psi(e) = e$. We define on the space $B \times B$ the produit:

 $(x, y) \odot (x', y') = (xx' + \rho(\sigma(y')y); y\phi(x') + y'\psi(x))$ (2.1)

The algebra resulting has an unit element (e, 0) and contains $B \times \{0\}$ as sub-algebra. It is said to be obtained from B and ρ , by unit-duplication process and is denoted by $UDP_B(\rho, \sigma, \phi, \psi)$. This generalizes the classical Cayley-Dickson process as-well as the process given.

Theorem 5 Let A be an unital 4-dimensional real division algebra having a non-trivial derivation such that A isn't quadratic or isn't flexible, then the following propositions are equivalent:

- 1. $Aut(A) \cong SO(2);$
- 2. dim(Der(A)) = 1;
- *3. A* is obtained from the unital real algebra \mathbb{C} by unit-duplication process.

Proof. (1) \implies (2) Der(A) = Lie(Aut(A)) = Lie(SO(2)) = so(2), so dim(Der(A)) = 1.

 $(2) \Longrightarrow (3)$ See Corollary 1 in (Diabang & all, $(2016)_1$).

 $(3) \implies (1) A$ admits a nonzero derivation, then A satisfies the hypotheses of the Theoreml 4. The proposition 2 completes the proof.

Remark 3 *Let A be a finite-dimensional real division algebra, whose Lie algebra of derivations is trivial, then the group Aut(A) is finite.*

Problem 1 Let A be an four-dimensional real division algebra, whose group Aut(A) is finite. Is there an upper limit to the order of the group Aut(A)?.

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