

# Statistical Distribution of Roots of a Polynomial Modulo Primes III

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## Abstract

Let  $f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0$  ( $a_{n-1}, \dots, a_0 \in \mathbb{Z}$ ) be a polynomial with complex roots  $\alpha_1, \dots, \alpha_n$  and suppose that a linear relation over  $\mathbb{Q}$  among  $1, \alpha_1, \dots, \alpha_n$  is a multiple of  $\sum_i \alpha_i + a_{n-1} = 0$  only. For a prime number  $p$  such that  $f(x) \bmod p$  has  $n$  distinct integer roots  $0 < r_1 < \cdots < r_n < p$ , we proposed in a previous paper a conjecture that the sequence of points  $(r_1/p, \dots, r_n/p)$  is equi-distributed in some sense. In this paper, we show that it implies the equi-distribution of the sequence of  $r_1/p, \dots, r_n/p$  in the ordinary sense and give the expected density of primes satisfying  $r_i/p < a$  for a fixed suffix  $i$  and  $0 < a < 1$ .

**Keywords:** polynomial, equi-distribution

## 1. Introduction

Let

$$f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0 \quad (1)$$

be a monic polynomial of degree  $n (\geq 2)$  over the ring  $\mathbb{Z}$  of integers with complex roots  $\alpha_1, \dots, \alpha_n$ . We put

$$Spl_X(f) := \{p \leq X \mid f(x) \text{ is fully splitting modulo } p\}$$

for a positive number  $X$  and  $Spl(f) := Spl_\infty(f)$ . Here the letter  $p$  denotes a prime number, and a polynomial  $f(x)$  is fully splitting modulo  $p$  if and only if

$$f(x) \equiv \prod_{i=1}^n (x - r_i) \bmod p \quad (2)$$

for some integers  $r_i$ . We know that  $Spl(f)$  is an infinite set and that the density theorem due to Chebotarev holds; that is,

$$\lim_{X \rightarrow \infty} \frac{\#Spl(f, X)}{\#\{p \leq X\}} = \frac{1}{[Q(f) : \mathbb{Q}]},$$

where  $\mathbb{Q}$  is the rational number field and  $Q(f)$  is a finite Galois extension field of  $\mathbb{Q}$  generated by all roots of  $f(x)$ . In this note, we require the following condition on the above local roots  $r_1, \dots, r_n$ :

$$0 \leq r_1 \leq r_2 \leq \cdots \leq r_n < p. \quad (3)$$

The condition (3) determines the  $i$ th local root  $r_i$  uniquely. As a basic assumption, we assume that there is no non-trivial linear relation over  $\mathbb{Q}$  among roots  $\alpha_1, \dots, \alpha_n$  and 1 except for a trivial relation  $\sum \alpha_i + a_{n-1} = 0$  in this paper. We know that any irreducible polynomial of prime degree, or a polynomial  $f$  of degree  $n$  with  $[Q(f) : \mathbb{Q}] = n!$  has no non-trivial linear relation among roots and 1. An irreducible polynomial  $f$  of degree 4 has a non-trivial linear relation among roots and 1 if and only if  $f(x)$  is of the form  $g(h(x))$  for quadratic polynomials  $g, h$  (Kitaoka, 2017). When the degree is greater than 5, there is no such a simple classification.

We consider the following two kinds of uniformity: Put

$$\hat{\mathcal{D}}_n := \{(x_1, \dots, x_n) \in [0, 1)^n \mid 0 \leq x_1 \leq \cdots \leq x_n < 1, \sum_{i=1}^n x_i \in \mathbb{Z}\} \quad (4)$$

which is on the union of hyper-planes defined by  $\sum x_i = k \in \mathbb{Z}$  in  $\mathbb{R}^n$  and for a set  $D \subset [0, 1)^n$  with  $D = \overline{D}^\circ$

$$Pr_D(f, X) := \frac{\#\{p \in Spl_X(f) \mid (r_1/p, \dots, r_n/p) \in D\}}{\#Spl_X(f)},$$

where local roots  $r_i$  are supposed to satisfy properties (2), (3). We proposed (Kitaoka, 2017)

### Conjecture 1

$$\lim_{X \rightarrow \infty} Pr_D(f, X) = \frac{vol(D \cap \hat{\mathfrak{D}}_n)}{vol(\hat{\mathfrak{D}}_n)}. \quad (5)$$

Here, “vol” is the volume on the hyper-plane in  $\mathbb{R}^n$ . On the other hand, the classical concept of the uniformity is

### Conjecture 2

$$\lim_{X \rightarrow \infty} \frac{\sum_{p \in Spl_X(f)} \#\{i \mid r_i/p \leq a, 1 \leq i \leq n\}}{n \cdot \#Spl_X(f)} = a \quad (6)$$

for a real number  $a \in [0, 1)$ .

Due to (Duke, Friedlander & Iwaniec, 1995) and (Tóth, 2000), Conjecture 2 is true for a quadratic polynomial, however nothing is known if  $n > 2$ .

We stated in (Kitaoka, 2017) that Conjecture 2 follows from Conjecture 1 as far as we checked by the Monte Carlo method. We give the rigorous proof here, that is,

**Theorem 1.** *Let  $f(x)$  be a monic polynomial over  $\mathbb{Z}$  of degree  $n$ . Under the assumption that there is no non-trivial linear relation over  $\mathbb{Q}$  among roots of  $f(x)$  and 1, Conjecture 1 implies Conjecture 2.*

To prove this, putting  $D_{i,a} := \{(x_1, \dots, x_n) \in [0, 1)^n \mid x_i \leq a\}$  for a given number  $a \in [0, 1)$ , we have only to show

$$\sum_{i=1}^n \frac{vol(D_{i,a} \cap \hat{\mathfrak{D}}_n)}{n \cdot vol(\hat{\mathfrak{D}}_n)} = a \quad (7)$$

by (Kitaoka, 2017). To show it, we evaluate  $\frac{vol(D_{i,a} \cap \hat{\mathfrak{D}}_n)}{vol(\hat{\mathfrak{D}}_n)}$  (Proposition 1), which gives as a by-product the density of primes  $p$  satisfying  $r_i/p < a$ :

**Theorem 2.** *Let  $f(x)$  be a monic polynomial over  $\mathbb{Z}$  of degree  $n$ . Under the assumption that there is no non-trivial linear relation over  $\mathbb{Q}$  among roots of  $f(x)$  and 1. Then Conjecture 1 implies for  $1 \leq i \leq n$*

$$\begin{aligned} & \lim_{X \rightarrow \infty} \frac{\#\{p \in Spl_X(f) \mid r_i/p < a\}}{\#Spl_X(f)} \\ &= \frac{1}{(n-1)!} \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} \sum_{k=i}^n (-1)^{h+k+n} \binom{n}{k} \sum_{m=1}^{n-1} \binom{k}{n-h-m+l} \binom{n-k}{m-l} M(l-ha)^{n-1}, \end{aligned}$$

where the binomial coefficient  $\binom{A}{B}$  is supposed to vanish unless  $0 \leq B \leq A$ , and  $M(x) := \max(x, 0)$ .

When  $i = 1$ , a simpler formula is given in Proposition 1 in the next section. Let us give numerical data for a polynomial  $f(x) = x^6 + x^5 + \dots + 1 = (x^7 - 1)/(x - 1)$ . Put

$$Ex(a, m, i) := \frac{\#\{p \in Spl_X(f) \mid r_i/p < a\}}{\#Spl_X(f)} \quad (X = 10^{10} \cdot m)$$

and denote the expected limit given by the above theorem by  $T(a, i)$  and the error by

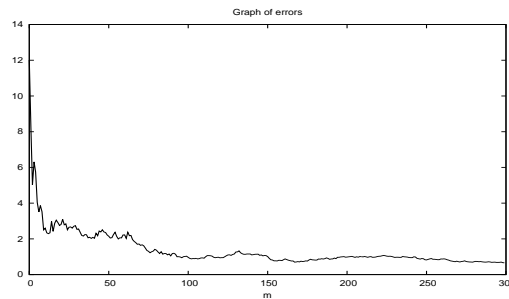
$$er(m) := 10^5 \max_{1 \leq k \leq 100, 1 \leq i \leq 6} |Ex(k/100, m, i) - T(k/100, i)|.$$

The graph of  $er(m)$  ( $m = 1, \dots, 300$ ) is below.

Conjecture 1 is generalized to a polynomial with a non-trivial linear relation among roots (Kitaoka, 2017). To treat such a polynomial, a more intrinsic proof of Theorem 1 independent of evaluation is desirable.

## 2. Proof

Hereafter, a real number  $a$  satisfies  $0 \leq a < 1$ .



**Lemma 1.** For an integer  $k$  with  $1 \leq k \leq n$ , let

$$V(k) := \text{vol} \left\{ \left\{ x \in [0, 1]^n \mid x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_n, \sum_{j=1}^n x_j \in \mathbb{Z} \right\} \right\} \cos \theta, \quad (8)$$

for the angle  $\theta$  of two hyper-planes defined by  $x_j = 0$  and by  $x_1 + \dots + x_n = 0$  in  $\mathbb{R}^n$ . Then we have

$$\frac{\text{vol}(D_{i,a} \cap \hat{\mathcal{D}}_n)}{\text{vol}(\hat{\mathcal{D}}_n)} = \sum_{k=i}^n \binom{n}{k} V(k). \quad (9)$$

*Proof.* It is easy to see

$$\begin{aligned} & \text{vol}(D_{i,a} \cap \hat{\mathcal{D}}_n) \\ &= \sum_{k=i}^n \text{vol} \{ x \mid 0 \leq x_1 \leq \dots \leq x_k \leq a < x_{k+1} \leq \dots \leq x_n < 1, \sum x_j \in \mathbb{Z} \} \\ &= \sum_{k=i}^n \frac{1}{k!(n-k)!} \text{vol} \{ x \mid 0 \leq x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_n < 1, \sum x_j \in \mathbb{Z} \} \\ &= \frac{1}{n!} \sum_{k=i}^n \binom{n}{k} \text{vol} \left\{ x \mid 0 \leq x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_n < 1, \sum_j x_j \in \mathbb{Z} \right\} \\ &= \text{vol}(\hat{\mathcal{D}}_n) \sum_{k=i}^n \binom{n}{k} V(k), \end{aligned}$$

using  $\text{vol}(\hat{\mathcal{D}}_n) = \frac{1}{n! \cos \theta}$ . □

To evaluate  $V(k)$ , we quote the following (Feller, 1966):

**Lemma 2.** For a natural number  $k$ , the volume of a subset of the unit cube  $[0, 1]^k$  defined by  $\{(x_1, \dots, x_k) \mid x_1 + \dots + x_k \leq x\}$  is given by

$$U_k(x) := \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{k}{i} M(x-i)^k.$$

**Lemma 3.** For  $k = n$ , we have

$$V(n) = \frac{1}{(n-1)!} \sum_{\substack{0 \leq i \leq n, \\ 1 \leq k \leq n-1}} (-1)^i \binom{n}{i} M(k-ia)^{n-1}. \quad (10)$$

*Proof.* It is easy to see that

$$\begin{aligned} V(n) &= \text{vol}(\{x \in \mathbb{R}^n \mid 0 \leq x_1, \dots, x_n \leq a, \sum_{i=1}^n x_i \in \mathbb{Z}\}) \cos \theta \\ &= \sum_{k=1}^{n-1} \text{vol}(\{x \in \mathbb{R}^n \mid 0 \leq x_1, \dots, x_n \leq a, \sum_{i=1}^n x_i = k\}) \cos \theta \end{aligned}$$

$$\begin{aligned}
&= \sum_{k=1}^{n-1} \text{vol}(\{x \in \mathbb{R}^{n-1} \mid 0 \leq x_1, \dots, x_{n-1} \leq a, 0 \leq k - \sum_{i=1}^{n-1} x_i \leq a\}) \\
&= \sum_{k=1}^{n-1} \text{vol}(\{x \in \mathbb{R}^{n-1} \mid 0 \leq x_1, \dots, x_{n-1} \leq a, \sum_{i=1}^{n-1} x_i \leq k\}) \\
&\quad - \sum_{k=1}^{n-1} \text{vol}(\{x \in \mathbb{R}^{n-1} \mid 0 \leq x_1, \dots, x_{n-1} \leq a, \sum_{i=1}^{n-1} x_i \leq k-a\}).
\end{aligned}$$

The volume of the set  $\{x \in \mathbb{R}^{n-1} \mid 0 \leq x_1, \dots, x_{n-1} \leq a, \sum_{i=1}^{n-1} x_i \leq K\}$  is equal to

$$\begin{aligned}
&a^{n-1} \text{vol}(\{x \in \mathbb{R}^{n-1} \mid 0 \leq t_1, \dots, t_{n-1} \leq 1, \sum_{i=1}^{n-1} t_i \leq K/a\}) \\
&= a^{n-1} U_{n-1}(K/a) \\
&= \frac{a^{n-1}}{(n-1)!} \sum_{i=0}^{n-1} (-1)^i \binom{n-1}{i} M(K/a - i)^{n-1} \\
&= \frac{1}{(n-1)!} \sum_{i=0}^{n-1} (-1)^i \binom{n-1}{i} M(K - ia)^{n-1}.
\end{aligned}$$

Therefore we have

$$\begin{aligned}
V(n) &= \frac{1}{(n-1)!} \sum_{k=1}^{n-1} \sum_{i=0}^{n-1} (-1)^i \binom{n-1}{i} \{M(k - ia)^{n-1} - M(k - (i+1)a)^{n-1}\} \\
&= \frac{1}{(n-1)!} \sum_{k=1}^{n-1} \sum_{i=0}^{n-1} (-1)^i \binom{n-1}{i} M(k - ia)^{n-1} \\
&\quad + \frac{1}{(n-1)!} \sum_{k=1}^{n-1} \sum_{i=1}^n (-1)^i \binom{n-1}{i-1} M(k - ia)^{n-1} \\
&= \frac{1}{(n-1)!} \sum_{k=1}^{n-1} \sum_{i=0}^n (-1)^i \binom{n}{i} M(k - ia)^{n-1}.
\end{aligned}$$

□

**Lemma 4.** In case of  $1 \leq k \leq n-1$ , we have

$$V(k) = \sum_{m=1}^{n-1} (U_{k,n-1}(m-a) - U_{k,n-1}(m-1)), \quad (11)$$

where

$$U_{k,r}(t) := \text{vol}\{x \in [0, 1]^r \mid x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_r, \sum_{j=1}^r x_j < t\}. \quad (12)$$

*Proof.* We see that

$$\begin{aligned}
V(k) &= \sum_{m=1}^{n-1} \text{vol} \left\{ x \in [0, 1]^n \mid x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_n, \sum_{j=1}^n x_j = m \right\} \cos \theta \\
&= \sum_{m=1}^{n-1} \text{vol} \left\{ x \in [0, 1]^{n-1} \mid x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_{n-1}, a < m - \sum_{j=1}^{n-1} x_j < 1 \right\} \\
&= \sum_{m=1}^{n-1} (U_{k,n-1}(m-a) - U_{k,n-1}(m-1)).
\end{aligned}$$

□

**Lemma 5.** For integers  $r, k$  with  $1 \leq k \leq r$ , we have

$$U_{k,r+1}(t) = \int_a^1 U_{k,r}(t-w)dw.$$

*Proof.* This follows from the equation

$$U_{k,r+1}(t) = \int_{x_{r+1}=a}^1 \left( \int_D dx_1, \dots, dx_r \right) dx_{r+1}$$

where the domain  $D$  is given by the conditions  $0 \leq x_1, \dots, x_k \leq a < x_{k+1}, \dots, x_r, \sum_{j=1}^r x_j < t - x_{r+1}$ . □

**Lemma 6.**

$$\int_a^1 M(t-w)^m dw = \frac{1}{m+1} \{M(t-a)^{m+1} - M(t-1)^{m+1}\}.$$

*Proof.* The left-hand side is equal to

$$\begin{aligned} & \int_a^1 \max(t-w, 0)^m dw \\ &= \int_{t-a}^{t-1} \max(W, 0)^m (-dW) \\ &= - \int_{-\infty}^{t-1} \max(W, 0)^m dW + \int_{-\infty}^{t-a} \max(W, 0)^m dW \\ &= - \frac{1}{m+1} M(t-1)^{m+1} + \frac{1}{m+1} M(t-a)^{m+1}. \end{aligned}$$

□

**Lemma 7.** For integers  $j, k$  with  $j \geq 0, k \geq 1$ ,  $U_{k,k+j}(t)$  is equal to

$$\frac{1}{(k+j)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \sum_{h=0}^j (-1)^{j+h} \binom{j}{h} M(t+h-j-(i+h)a)^{k+j}. \quad (13)$$

*Proof.* Suppose that  $j = 0$ ; then  $U_{k,k}(t)$  equals

$$\begin{aligned} & \text{vol}\{x \in [0, 1]^k \mid x_1, \dots, x_k \leq a, \sum_{j=1}^k x_j < t\} \\ &= a^k U_k(t/a) \\ &= \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{k}{i} M(t-ia)^k. \end{aligned}$$

Second, suppose that the equation (13) is true; then we see that  $U_{k,k+j+1}(t)$  equals

$$\begin{aligned} & \int_a^1 U_{k,k+j}(t-w)dw \\ &= \frac{1}{(k+j)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \sum_{h=0}^j (-1)^{j+h} \binom{j}{h} \int_a^1 M(t-w+h-j-(i+h)a)^{k+j} dw \\ &= \frac{1}{(k+j)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \sum_{h=0}^j (-1)^{j+h} \binom{j}{h} \times \end{aligned}$$

$$\begin{aligned}
& \frac{1}{k+j+1} \{M(t+h-j-(i+h+1)a)^{k+j+1} - M(t+h-j-1-(i+h)a)^{k+j+1}\} \\
&= \frac{1}{(k+j+1)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \times \\
& \quad \left\{ \sum_{h=1}^{j+1} (-1)^{j+h+1} \binom{j}{h-1} M(t+h-j-1-(i+h)a)^{k+j+1} - \sum_{h=0}^j (-1)^{j+h} \binom{j}{h} M(t+h-j-1-(i+h)a)^{k+j+1} \right\} \\
&= \frac{1}{(k+j+1)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \times \left\{ \sum_{h=1}^j (-1)^{j+h+1} \left( \binom{j}{h-1} + \binom{j}{h} \right) M(t+h-j-1-(i+h)a)^{k+j+1} \right. \\
& \quad \left. + M(t-(i+j+1)a)^{k+j+1} - (-1)^j M(t-j-1-ia)^{k+j+1} \right\} \\
&= \frac{1}{(k+j+1)!} \sum_{i=0}^k (-1)^i \binom{k}{i} \times \\
& \quad \left\{ \sum_{h=1}^j (-1)^{j+h+1} \binom{j+1}{h} M(t+h-j-1-(i+h)a)^{k+j+1} + M(t-(i+j+1)a)^{k+j+1} - (-1)^j M(t-j-1-ia)^{k+j+1} \right\},
\end{aligned}$$

which completes the induction.  $\square$

**Lemma 8.** For  $1 \leq k \leq n-1$ , we have

$$V(k) = \frac{1}{(n-1)!} \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} (-1)^{n+k+h} \sum_{m=1}^{n-1} \binom{k}{n-h-m+l} \binom{n-k}{m-l} M(l-ha)^{n-1}.$$

*Proof.* For  $1 \leq k, m \leq n-1$ , we have

$$\begin{aligned}
& (n-1)! \{U_{k,n-1}(m-a) - U_{k,n-1}(m-1)\} \\
&= \sum_{i,h \in \mathbb{Z}} (-1)^i \binom{k}{i} (-1)^{n-1-k+h} \binom{n-1-k}{h} M(m-a+h-(n-1-k)-(i+h)a)^{n-1} \\
& \quad - \sum_{i,h \in \mathbb{Z}} (-1)^i \binom{k}{i} (-1)^{n-1-k+h} \binom{n-1-k}{h} M(m-1+h-(n-1-k)-(i+h)a)^{n-1} \\
&= \sum_{h,l \in \mathbb{Z}} (-1)^{n+k+h} \binom{k}{n+l-h-m} \left\{ \binom{n-1-k}{m-l} + \binom{n-1-k}{m-l-1} \right\} M(l-ha)^{n-1} \\
&= \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} (-1)^{n+k+h} \binom{k}{n+l-h-m} \binom{n-k}{m-l} M(l-ha)^{n-1},
\end{aligned}$$

where the restrictions on  $h, l$  follow from conditions  $1 \leq k, m \leq n-1, 0 \leq n+l-h-m \leq k, 0 \leq m-l \leq n-k$ . Lemma 4 completes the proof.  $\square$

**Lemma 9.** Let  $m, n$  be integers satisfying  $0 \leq m \leq n-1$ . Then we have

$$\sum_{k=0}^m (-1)^k \binom{n}{k} = (-1)^m \binom{n-1}{m}. \quad (14)$$

For a polynomial  $P(x) = c_n x^n + \dots + c_0$ , we have

$$\sum_{k=0}^n (-1)^k P(k) \binom{n}{k} = c_n (-1)^n n!. \quad (15)$$

These are well-known and we omit the proof.

**Proposition 1.** For an integer  $i$  with  $1 \leq i \leq n$  and a real number  $a \in [0, 1)$ , we have

$$(n-1)! \text{vol}(D_{i,a} \cap \hat{\mathfrak{D}}_n) / \text{vol}(\hat{\mathfrak{D}}_n) \\ = \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} \sum_{k=i}^n (-1)^{h+k+n} \binom{n}{k} \binom{n}{h} - \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q} M(l-ha)^{n-1}.$$

In particular, we have for  $i = 1$

$$\text{vol}(D_{1,a} \cap \hat{\mathfrak{D}}_n) / \text{vol}(\hat{\mathfrak{D}}_n) = \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} C_1(l, h) M(l-ha)^{n-1}, \quad (16)$$

where

$$C_1(l, h) = \frac{1}{(n-1)!} \begin{cases} (-1)^{n+h+1} \binom{n}{h} & \text{if } h \geq l+1, \\ 0 & \text{if } 1 \leq h \leq l, \\ (-1)^{n+l+1} \binom{n-1}{l} & \text{if } h = 0. \end{cases}$$

*Proof.* By Lemma 1, we have

$$(n-1)! \text{vol}(D_{i,a} \cap \hat{\mathfrak{D}}_n) / \text{vol}(\hat{\mathfrak{D}}_n) \\ = (n-1)! \sum_{k=i}^n \binom{n}{k} V(k) \\ = (n-1)! V(n) + (n-1)! \sum_{k=i}^{n-1} \binom{n}{k} V(k) \\ = \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} (-1)^h \binom{n}{h} M(l-ha)^{n-1} \\ + \sum_{k=i}^{n-1} \binom{n}{k} \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} (-1)^{n+k+h} \sum_{m=1}^{n-1} \binom{k}{n-h-m+l} \binom{n-k}{m-l} M(l-ha)^{n-1} \\ = \sum_{k=i}^n \binom{n}{k} \sum_{\substack{0 \leq h \leq n \\ 1 \leq l \leq n-1}} (-1)^{n+k+h} \sum_{m=1}^{n-1} \binom{k}{n-h-m+l} \binom{n-k}{m-l} M(l-ha)^{n-1},$$

since the binomial coefficient  $\binom{0}{m-l}$  vanishes unless  $m = l$ . The partial sum  $\sum_{m=1}^{n-1} \binom{k}{n-h-m+l} \binom{n-k}{m-l}$  is equal to

$$\sum_{1-l \leq q \leq n-1-l} \binom{k}{n-h-q} \binom{n-k}{q} \\ = \sum_{0 \leq q \leq \min(n-1-l, n-h)} \binom{k}{n-h-q} \binom{n-k}{q} \\ = \binom{n}{n-h} - \sum_{\min(n-1-l, n-h)+1 \leq q \leq n-h} \binom{k}{n-h-q} \binom{n-k}{q} \\ = \binom{n}{h} - \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q}. \quad (17)$$

Let us assume that  $i = 1$  to show (16). Putting

$$T(l, h) := \sum_{k=1}^n (-1)^{h+k+n} \binom{n}{k} \left( \binom{n}{h} - \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q} \right) \\ = -(-1)^{h+n} \binom{n}{h} - \sum_{k=1}^n (-1)^{h+k+n} \binom{n}{k} \sum_{q=h}^{\max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q},$$

we have only to prove  $T(l, h) = (n-1)! C_1(l, h)$ . It is obviously true if  $h \geq l+1$ , since the partial sum on  $q$  is empty. In case of  $h = 0$ , we see that  $T(l, 0)$  is equal to

$$\begin{aligned} & -(-1)^n - \sum_{k=1}^n (-1)^{k+n} \binom{n}{k} \sum_{q=0}^l \binom{k}{q} \binom{n-k}{n-q} \\ & = -(-1)^n - \sum_{k=1}^n (-1)^{k+n} \binom{n}{k} \sum_{q=0}^l \delta_{k,q} \\ & = -(-1)^n - \sum_{k=1}^l (-1)^{k+n} \binom{n}{k} \\ & = - \sum_{k=0}^l (-1)^{k+n} \binom{n}{k} \\ & = -(-1)^{n+l} \binom{n-1}{l}. \end{aligned}$$

Lastly assume that  $1 \leq h \leq l$ . The sum  $T(l, h) + (-1)^{h+n} \binom{n}{h}$  is equal to

$$\begin{aligned} & - \sum_{k=1}^n (-1)^{h+k+n} \binom{n}{k} \sum_{q=h}^l \binom{k}{q-h} \binom{n-k}{n-q} \\ & = - \sum_{q=h}^l (-1)^{h+n} \binom{n}{h} \binom{n-h}{q-h} \sum_{k=1}^n (-1)^k \binom{h}{q-k} \\ & = - \sum_{q=h}^l (-1)^{h+n} \binom{n}{h} \binom{n-h}{q-h} (-1)^q \sum_{K=0}^{q-1} (-1)^K \binom{h}{K} \\ & = - \sum_{q=h}^l (-1)^{h+n} \binom{n}{h} \binom{n-h}{q-h} (-1)^q (-1)^{q-1} \binom{h-1}{q-1} \\ & = \sum_{q=h}^l (-1)^{h+n} \binom{n}{h} \binom{n-h}{q-h} \binom{h-1}{q-1} \\ & = (-1)^{h+n} \binom{n}{h}, \end{aligned}$$

which implies  $T(l, h) = 0$ . □

The proposition gives Theorem 2 by (17), and we see that the left-hand side of (7) is the sum of  $C(l, h)M(l-ha)^{n-1}$  over integers  $l, h$  satisfying

$$1 \leq l \leq n-1, 0 \leq h \leq n, \quad (18)$$

where

$$\begin{aligned} C(l, h) &:= \frac{1}{n!} \sum_{1 \leq i \leq k \leq n} (-1)^{h+k+n} \binom{n}{k} \left( \binom{n}{h} - \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q} \right) \\ &= \frac{1}{n!} \sum_{0 \leq k \leq n} (-1)^{h+k+n} k \binom{n}{k} \left( \binom{n}{h} - \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q} \right) \\ &= \frac{-1}{n!} \sum_{0 \leq k \leq n} (-1)^{h+k+n} k \binom{n}{k} \sum_{h \leq q \leq \max(l, h-1)} \binom{k}{q-h} \binom{n-k}{n-q}. \end{aligned} \quad (19)$$



To prove (7), we will show

$$C(l, h) = \begin{cases} \frac{-(-1)^{n-l}}{(n-1)!} \binom{n-2}{l-1} & \text{if } h = 0, \\ \frac{(-1)^{n-l}}{(n-1)!} \binom{n-2}{l-1} & \text{if } h = 1, \\ 0 & \text{if } h \geq 2. \end{cases} \quad (20)$$

Under the equations (20), Theorem 1 is proved as follows: The left-hand side of (7) is equal to

$$\begin{aligned} & \sum_{l=1}^{n-1} \frac{-(-1)^{n-l}}{(n-1)!} \binom{n-2}{l-1} M(l)^{n-1} + \sum_{l=1}^{n-1} \frac{(-1)^{n-l}}{(n-1)!} \binom{n-2}{l-1} M(l-a)^{n-1} \\ &= \sum_{l=1}^{n-1} \frac{-(-1)^{n-l}}{(n-1)!} \binom{n-2}{l-1} (l^{n-1} - (l-a)^{n-1}) \\ &= \sum_{l=0}^{n-2} \frac{(-1)^{n+l}}{(n-1)!} \binom{n-2}{l} ((n-1)a l^{n-2} + O(l^{n-3})) \\ &= a. \end{aligned}$$

Suppose  $h = 0$ ; we see that

$$\begin{aligned} C(l, 0) &= \frac{-1}{n!} \sum_{0 \leq k \leq n} (-1)^{k+n} k \binom{n}{k} \sum_{0 \leq q \leq l} \binom{k}{q} \binom{n-k}{n-q} \\ &= \frac{-1}{n!} \sum_{0 \leq k \leq n} (-1)^{k+n} k \binom{n}{k} \sum_{0 \leq q \leq l} \delta_{k,q} \\ &= \frac{-1}{n!} \sum_{0 \leq k \leq l} (-1)^{k+n} k \binom{n}{k} \\ &= \frac{-1}{(n-1)!} \sum_{0 \leq k \leq l} (-1)^{k+n} \binom{n-1}{k-1} \\ &= \frac{-1}{(n-1)!} \sum_{0 \leq k \leq l-1} (-1)^{k+n+1} \binom{n-1}{k} \\ &= \frac{(-1)^{n+l+1}}{(n-1)!} \binom{n-2}{l-1}, \end{aligned}$$

which is (20).

Second we see that

$$C(l, 1) = \frac{-1}{n!} \sum_{0 \leq k \leq n} (-1)^{1+k+n} k \binom{n}{k} \sum_{1 \leq q \leq l} \binom{k}{q-1} \binom{n-k}{n-q}.$$

Unless  $q-1 \leq k$  and  $n-q \leq n-k$ , binomial coefficients vanish, hence we may assume that  $q = k$  or  $q = k+1$ , and we see

$$\begin{aligned} C(l, 1) &= \frac{-1}{n!} \sum_{0 \leq k \leq n} (-1)^{1+k+n} k \binom{n}{k} \sum_{1 \leq q \leq l} (k \delta_{q,k} + (n-k) \delta_{q,k+1}) \\ &= \frac{-1}{n!} \sum_{0 \leq k \leq l} (-1)^{1+k+n} k^2 \binom{n}{k} + \frac{-1}{n!} \sum_{0 \leq k \leq l-1} (-1)^{1+k+n} k(n-k) \binom{n}{k} \\ &= \frac{(-1)^n}{(n-1)!} \sum_{0 \leq k \leq l-1} (-1)^k k \binom{n}{k} + \frac{1}{n!} (-1)^{l+n} l^2 \binom{n}{l} \end{aligned}$$

$$\begin{aligned}
&= \frac{(-1)^n n}{(n-1)!} \sum_{0 \leq k \leq l-1} (-1)^k \binom{n-1}{k-1} + \frac{1}{n!} (-1)^{l+n} l^2 \binom{n}{l} \\
&= \frac{(-1)^{n+1} n}{(n-1)!} (-1)^{l-2} \binom{n-2}{l-2} + \frac{1}{n!} (-1)^{l+n} l^2 \binom{n}{l} \\
&= \frac{(-1)^{n+l}}{(n-1)!} \binom{n-2}{l-1}.
\end{aligned}$$

Finally, assume that  $h \geq 2$ ; hence  $1 \leq l \leq n-1, 2 \leq h \leq n$  are supposed. By (19), we have

$$\begin{aligned}
&-n!C(l, h) \\
&= \sum_{h \leq q \leq \max(l, h-1)} (-1)^{h+n} \binom{n}{h} \binom{n-h}{n-q} \sum_{0 \leq k \leq n} (-1)^k k \binom{h}{q-k} \\
&= \sum_{h \leq q \leq \max(l, h-1)} (-1)^{h+n} \binom{n}{h} \binom{n-h}{n-q} \sum_{0 \leq K \leq q} (-1)^{q+K} (q-K) \binom{h}{K} \\
&= 0,
\end{aligned}$$

since

$$\sum_{0 \leq K \leq q} (-1)^{q+K} (q-K) \binom{h}{K} = (-1)^q \sum_{0 \leq K \leq h} (-1)^K (q-K) \binom{h}{K} = 0$$

by  $h \geq 2$ . Thus we have completed the proof.

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